

# Nonlinearity versus Perturbation Theory in Quantum Mechanics

the particle-particle Coulomb interaction

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There are basically two "simple" (i.e. with classical fields:  $\neq$  QED) ways of quantum-mechanically describing Coulomb interaction between, say, two electrons confined in the 2d parabolic potential

$V(x, y) = V(r) = M\omega^2 r^2/2$  with  $r^2 = x^2 + y^2$  inside the vertical slab of height  $H_z$  (*quantum-dot helium*) .

i) either the Coulomb solution  $\Phi(\underline{x}) = e^2 \int \frac{|\Psi(\underline{x}')|^2}{|\underline{x} - \underline{x}'|} d^2 \underline{x}'$  of the *classical* differential Poisson equation  $\nabla^2 \Phi = -4\pi(e^2 / H_z) |\Psi|^2$  including the **mean-field source term**  $|\Psi|^2$  is explicitly present in the single-particle Schrödinger equation for  $\Psi$  in addition to  $V(r)$  :

$$-\frac{\hbar^2}{2M} \nabla^2 \Psi(r) + \left[ V(r) + e^2 \int \frac{|\Psi(\underline{x}')|^2}{|\underline{x} - \underline{x}'|} d^2 \underline{x}' \right] \Psi(r) = E \Psi(r)$$

We consider the stationary case for the sake of simplicity; e.g. two opposite-spin electrons: cf. Pauli.

Common  $2d$  orbital wavefunction  $\Psi$  (*«orbital bosons»*).  
Nonlinear eigenvalue  $E$ : *chemical potential*.

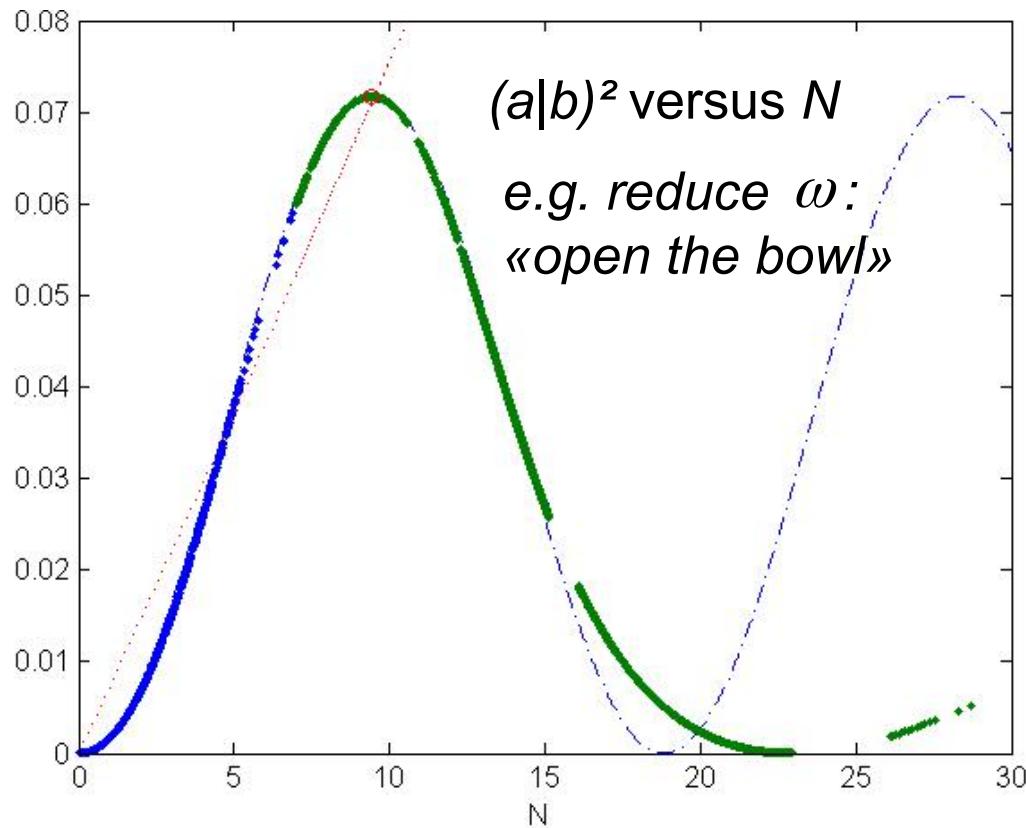
Then the resulting integrodifferential equation is **implicit**. Hence iterative perturbation series about orthogonal base elements to get an **approximate** converging definition of the Schrödinger wavefunction  $\Psi$  &/or search of the variational minimum of the corresponding energy functional.

*ii) or* the Poisson equation  $\nabla^2\Phi = -4\pi(e^2/h_z)|\Psi|^2$  is (at least numerically) formally coupled to the Schrödinger equation

$$-\frac{\hbar^2}{2M} \nabla^2\Psi(r,t) + [V(r) + \Phi(r,t)]\Psi(r,t) = E \Psi(r) .$$

This nonlinear Schrödinger-Poisson (SP) differential system yields (though only numerically...) the **explicit** mean-field solution  $\Psi$  **without any approximation**. Moreover it also yields **excited states** (= case (i)).

**Non-orthogonality** of the nonlinear eigenstates, say  $|a\rangle$  &  $|b\rangle$ , yields **quantum coherence** => ***interference*** between the eigenstates **without** superposition!



note:  $\pi\sqrt{\alpha}=0.2684$  ,  
 $\alpha=1/137.036$  .

In S<sub>2d</sub>P<sub>2d</sub> we had  $\sqrt{\pi}\sqrt{\alpha}$   
 vs  $\pi\sqrt{\alpha}$  for S<sub>2d</sub>P<sub>3d</sub> here.

**Nonlinearity  $\propto N$  :**

$$N = \frac{2e^2 / H_z}{\hbar\omega} \propto \| |a) \|^2 = \| |b) \|^2$$

$$(a | b) = \frac{1}{N} \int_0^{\infty} \Psi_a^*(r) \Psi_b(r) 2\pi r dr$$

Dashed:

$$(a | b) = -0.2678 \sin\left(\frac{N}{6}\right);$$

# technically...

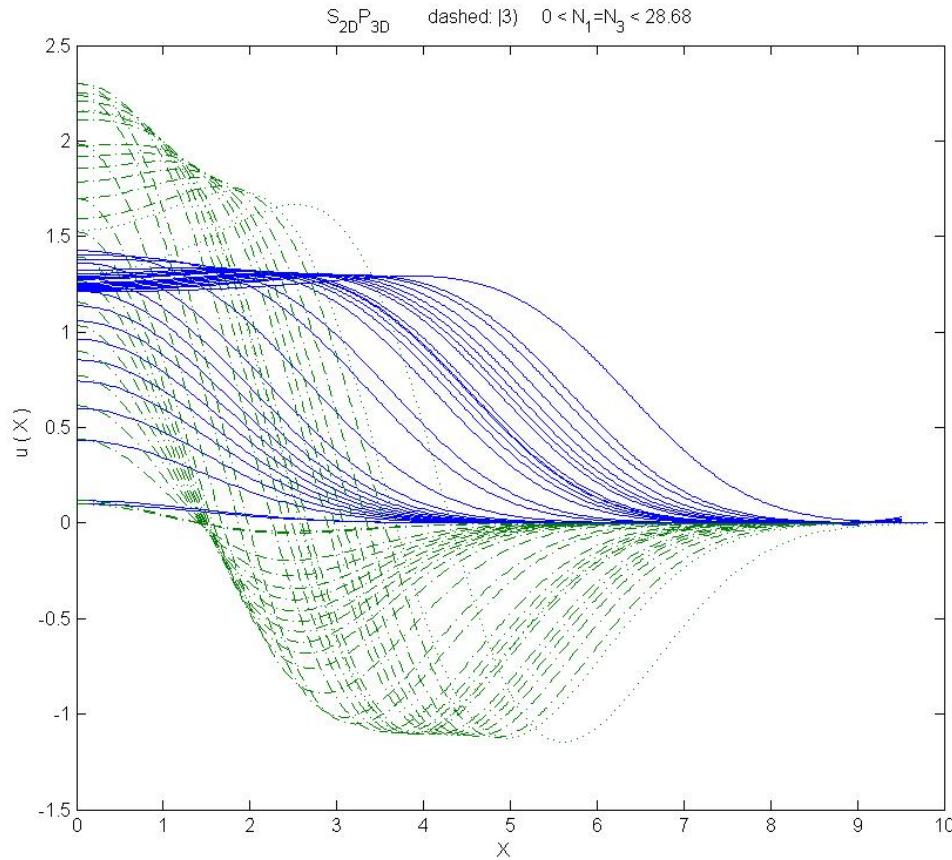
Consider the stationary SP system with two opposite-spin electrons => « orbital bosons » (cf. Pauli).

In appropriate dimensionless units (2d radial symmetry with angular momentum  $m$ ), it becomes ( $E^0 = E / \hbar\omega$ ):

$$u'' + \frac{1}{X} u' + \left[ E^0 - \frac{m^2}{X^2} - \frac{X^2}{4} \right] u = 0 , \quad u = \sqrt{\frac{2\pi e^2}{M\omega^2}} \Psi$$

$$\Phi^0 + \frac{2}{X} \Phi^0 + u^2 = 0 ; \quad X = r / \sqrt{\frac{\hbar}{2M\omega}} ;$$

$$\int_0^\infty |\Psi|^2 2\pi r dr = 1 \Leftrightarrow \int_0^\infty u^2 X dX = \frac{2e^2 / H_z}{\hbar\omega} = N .$$



We want eigenstate **non**-orthogonality. Therefore:

$m_a = m_b$  (selection rule).

Indeed inner product due to polar angle  $\varphi$  yields (radial symmetry):

$$m_a \neq m_b \Rightarrow \int_0^{2\pi} e^{i(m_b-m_a)\varphi} d\varphi = 0$$

$$\Rightarrow (a | b) = 0 .$$

|a) : ground eigenstate  $n_a = 0; m_a = 0$  (continuous)

|b) : 1rst excited eigenstate  $n_b = 1; m_b = 0$  (dashed)

$n_{a,b}$  main quantum numbers: number of nodes.

$$u \propto \sqrt{N} \Psi : N \rightarrow 0 \Rightarrow u \ll 1 : \text{quasilinear !}$$

cf. Poisson:  $\Phi_E^0'' + \frac{2}{X} \Phi_E^0 + u_E^2 \approx \Phi_E^0'' + \frac{2}{X} \Phi_E^0 = 0 \text{ if } u \ll 1 ;$

# Theorem

(J. Bec, OCA 2010)

$$(a|b) = \frac{W_{ab}}{E_a - E_b} \quad \text{where } W(X) = \Phi_a(X) - \Phi_b(X) \text{ and}$$

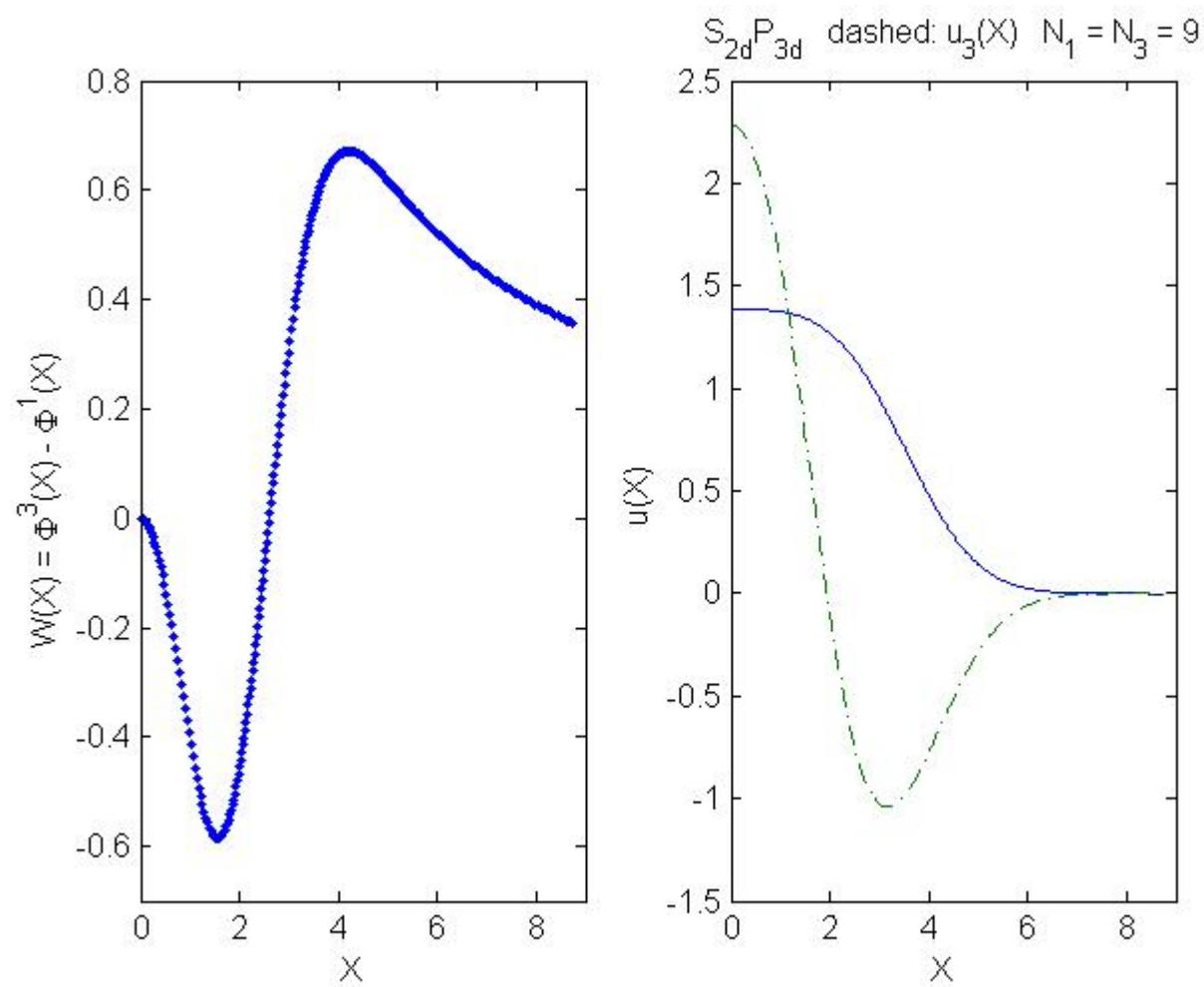
$W_{ab} = (a|W|b)$  ; potential  $\Phi_{a,b}$  is resp. defined by  $u_{a,b}^2$  through Poisson equation.

Consider the Schrödinger equation for  $|a\rangle$  :

$$u_a'' + \frac{1}{X} u_a' + \left[ E_a^0 - \Phi_a^0 - \frac{X^2}{4} \right] u_a = 0 ; \text{ add « perturbation » } W :$$

$$u'' + \frac{1}{X} u' + \left[ E_a^0 - \Phi_a^0 - \frac{X^2}{4} + W \right] u = u'' + \frac{1}{X} u' + \left[ E_a^0 - \Phi_b^0 - \frac{X^2}{4} \right] u = 0 ;$$

« Perturbation »  $W$  exchanges the respective Coulomb potentials. Therefore  $u$  is no more eigenstate !



$X > 9 \Rightarrow W(X) \sim 1/X$  (Coulomb).

(  $a$  |  $b$  ) is an invariant ...

$u_f = \hat{K}_W(t_f, t_i) u_i$  ;  $u_i = |a\rangle$  ;  $u_f \neq |b\rangle$  a priori !

pb :  $\left| (b | u_f) \right|$  when  $W = \Phi_a - \Phi_b$  ?

$$i\hbar \frac{\partial}{\partial t} u = [H_{W=0}^0 + W^0] u \Rightarrow i\hbar \frac{\partial}{\partial t_f} \hat{K}_W = [H_{W=0}^0 + W^0] \hat{K}_W ,$$

$$\Rightarrow \hat{K}_W(t_f; t_i) = \hat{K}_{W=0}(t_f; t_i) - i\hbar \int_{t_i}^{t_f} dt \left[ \hat{K}_{W=0}(t_f; t) W^0 \hat{K}_W(t; t_i) \right].$$

exact but implicit !

Lowest-order in W:  $\hat{K}_W \approx \hat{K}_{W=0}$  in the integral:

$$\hat{K}_W(t_f; t_i) = \hat{K}_{W=0}(t_f; t_i) - i \int_{t_i}^{t_f} dt \left[ \hat{K}_{W=0}(t_f; t) W^0 \hat{K}_{W=0}(t; t_i) \right] + o(W^2) + o(W^4) + \dots$$

$$\hat{K}_{W=0}(t_f; t_i) = e^{-i\hat{E}_a^0(t_f - t_i)} \quad ; \quad \hat{K}_{W=0}(t; t_i) = e^{-i\hat{E}_a^0(t - t_i)} \quad ;$$

$$\hat{K}_{W=0}(t_f; t) = e^{-i\hat{E}_b^0(t_f - t)}$$

Remind:  $\hat{K}_W(t_f; t_i) = \hat{K}_{W=0}(t_f; t_i) - i \int_{t_i}^{t_f} dt \left[ \hat{K}_{W=0}(t_f; t) W^0 \hat{K}_{W=0}(t; t_i) \right] + o(W^0) + o(W^0) \dots$

and  $(b | u_f) = (b | \hat{K}_W(t_f, t_i) u_i) = (b | \hat{K}_W(t_f, t_i) | a)$ . Therefore, since  $W \equiv W(X)$ :

$$(b | u_f) = e^{-i(t_f - t_i)\hat{E}_a^0} (b | a) - i W_{ab}^0 e^{i(\hat{E}_a^0 t_i - \hat{E}_b^0 t_f)} \int_{t_i}^{t_f} dt \left[ e^{i(\hat{E}_b^0 - \hat{E}_a^0)t} \right] + o(W^0) + \dots$$

Theorem:  $W_{ab}^0 = (a | b)(\hat{E}_a^0 - \hat{E}_b^0)$ . Defining  $T = t_f - t_i$  &  $\tau = \frac{1}{2}(\hat{E}_b^0 - \hat{E}_a^0)T$ :

$$|(b | u_f)| = \left| e^{-i\hat{E}_a^0 T} (1 - 2ie^{-i\tau} \sin \tau) \right| |(a | b)| + o(a | b)^2 + o(a | b)^4 + \dots$$

$$|(1 - 2ie^{-i\tau} \sin \tau)| = 1 ! \quad \Rightarrow \quad |(b | u_f)| = |(a | b)| + o(a | b)^2 + o(a | b)^4 + \dots$$

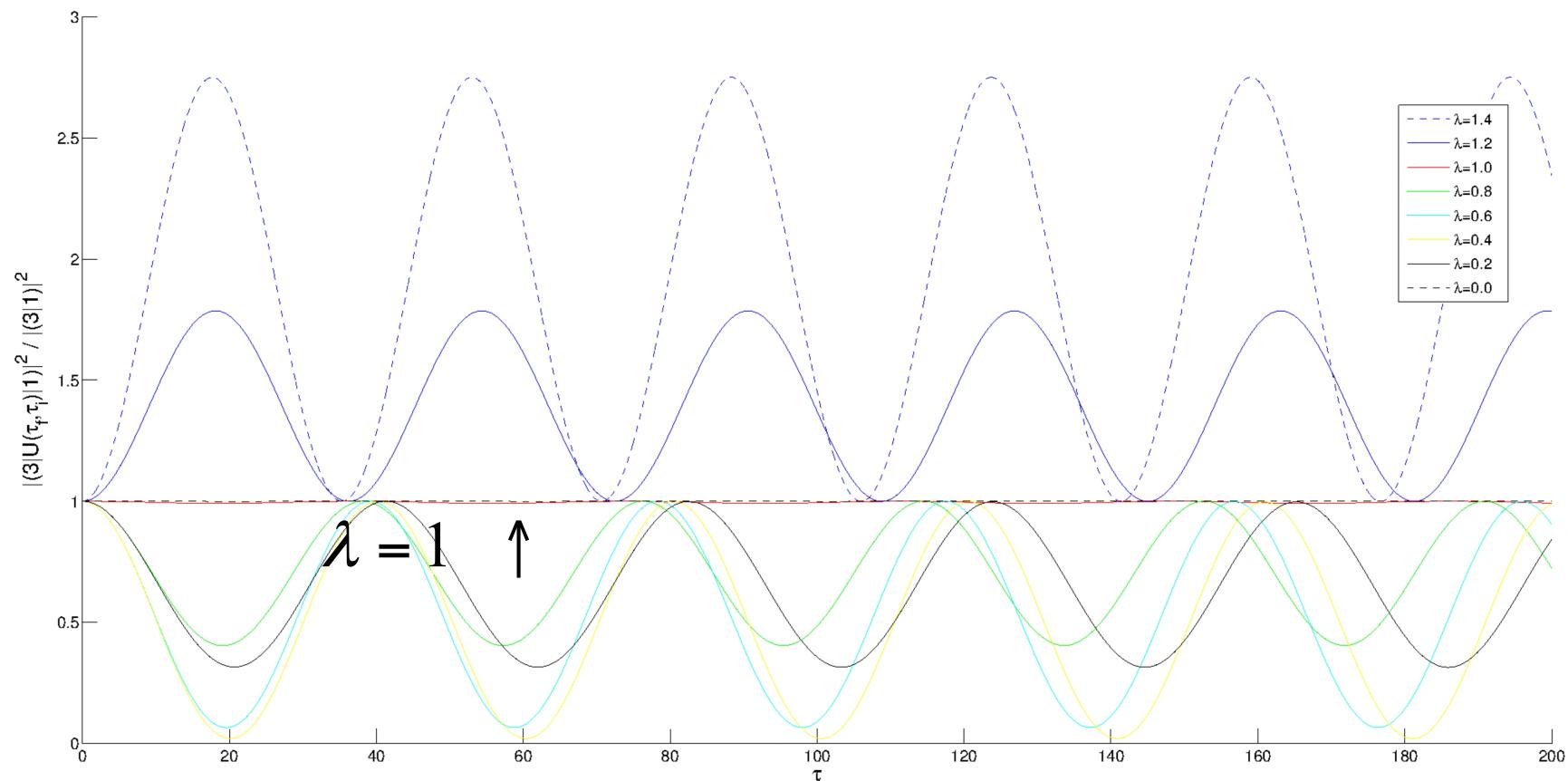
$$|(b | u(X, t))| = |(a | b)| + o(a | b)^2 + o(a | b)^4 + \dots$$

$$= \text{cste} + o(a | b)^2 + o(a | b)^4 + \dots \neq \text{Golden Rule}$$

We plot  $|(\langle b | u(X,t) \rangle)| / (\langle a | b \rangle)$  versus time for

$$W_0 = \lambda (\Phi_a^0 - \Phi_b^0) :$$

(C. Besse & G. Dujardin: 2013  
U. Lille1 & INRIA-Lille)



Probability of finding the 2-level nonlinear SP system in its excited state  $|b\rangle$  is constant in time and equal to  $\langle a | b \rangle$  if starting in g.s.  $|a\rangle$  !

***La mécanique quantique,c'est le plus bel outil que l'homme a créé pour marquer son territoire entre le zéro de la matière et l'infini de sa conscience...***

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**Refs:**

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